

statistical bulletin



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Inquiries concerning these data should be directed to the editor, Robert B. Menke, telephone (202) 523-5408.

TABLE 10: MARKET VALUE AND VOLUME OF PUT AND CALL TRANSACTIONS
(Data in thousands), including odd-lots

PRECISESTOCK STOCK EXCHANGES		Stocks		Options (1)		Futures		Pinks	
January	1979	Market Value	Shares	Value	Contracts	Value	Units	Value	Units
American		791,907	50,590	152,673	970	5,670	2,569	0	0
Boston		110,290	4,051	0	0	3	+	0	0
Chicago Option		709,675	0	709,075	2,392	0	0	0	0
Cincinnati		66,205	2,971	0	0	0	0	0	0
* Midwest		671,150	21,739	10,173	60	0	0	0	0
* New York		17,327,514	560,077	0	0	0	0	0	0
* Pacific		450,008	260,320	0	0	3,363	3,480	+	96
Philadelphia		234,553	10,149	22,000	212	321	242	0	0
* Intermountain		52	9,235	24,500	193	20	70	0	0
* Spokane		502	220	0	0	0	0	0	0
			902	0	0	0	0	0	0
LATTER 13 MONTHS (2)									
1977 January		10,550,714	17,424,521	1,103,516	2,433	30,027	5,651	651	5,070
1977 February		16,477,519	15,704,205	663,977	2,561	19,302	4,612	54	353
1977 March		15,820,509	15,000,951	930,154	3,372	19,036	4,100	659	4,250
1977 April		16,405,631	15,545,260	741,010	3,263	19,130	4,269	363	2,093
1977 May		16,772,457	15,060,758	907,063	3,101	16,439	2,204	0	0
1977 June		16,547,667	15,610,652	1,013,611	3,303	13,024	17,417	2,380	16,040
1977 July		17,661,917	16,634,007	1,013,425	3,410	12,468	6,701	1,119	0,706
1977 August		15,676,330	15,754,078	906,550	3,195	15,653	4,005	552	5,593
1977 September		14,420,000	13,572,992	745,158	2,070	12,933	3,782	15	27
1977 October		13,002,661	13,168,440	816,163	3,625	8,056	3,964	1	1
1977 November		16,890,821	15,693,022	1,193,105	4,107	9,614	3,155	0	0
1977 December		16,247,052	15,952,798	904,301	3,104	9,956	6,003	0	3
1979 January		15,390,152	15,462,411	929,341	3,716	9,300	6,369	0	96

(1) Option data prior to February, 1976 reports raw data only and will be revised when trading data on other exchanges becomes available. Includes both put and call transactions.

(2) Excludes exempted stock exchanges.

(SEE EXPLANATORY NOTES)

EXPLANATORY NOTES

Market Value and Volume of Sales on Registered and Exempted Securities Exchanges

The data presented in this table are of two types depending upon the method of aggregation used by each exchange. Reports of those exchanges marked with an asterisk cover transactions cleared during the calendar month; clearances occur for the most part within five days of the execution of a trade. Reports for other exchanges cover transactions effected on trade dates falling within the report month. The variance introduced by these two different methods of aggregating the data is not considered to be significant and accordingly all registered exchanges are aggregated and reported in monthly summaries.

Stock data include voting trust certificates, certificates of deposit for stocks, American Depositary Receipts for stocks and Option exercises.

Warrants data includes trading in rights for all periods prior to January, 1972.

11-70: DAILY VOLUME IN OVER-LISTED STOCKS BY MARKET: FEBRUARY, 1978

(Data in Thousands, Current Month Preliminary)

Date	Day	New York	Midwest	Pacific	NAEP	Phila.	Postor	American	Cincinnati	Instinet	Total
****	*****	*****	*****	*****	*****	*****	*****	*****	*****	*****	*****
1	Tue	22,240	1,129	744	539	324	126	1	120	11	25,242
2	Thu	23,050	1,044	787	485	459	189	1	130	9	26,153
3	Fri	19,400	1,040	973	499	367	138	2	104	2	22,215
6	Mon	11,630	616	439	370	164	82	0	66	0	13,366
7	Tue	14,730	1,040	744	347	296	0	0	85	0	17,242
8	Wed	21,300	928	978	681	465	0	1	133	13	24,499
9	Thu	17,940	952	821	663	377	0	1	98	9	20,861
10	Fri	19,480	925	556	609	317	0	1	109	510	22,507
13	Mon	16,810	824	589	305	188	68	0	78	0	18,862
14	Tue	20,470	876	589	545	228	96	2	105	4	23,015
15	Wed	20,170	831	718	458	414	112	0	97	25	22,825
16	Thu	21,570	1,026	847	478	378	108	1	130	31	24,569
17	Fri	18,500	1,086	610	620	301	102	0	140	0	21,359
20	Mon	21,890	918	627	465	563	154	1	102	21	24,741
21	Tue	18,450	916	536	589	271	76	0	76	4	20,918
22	Wed	18,720	957	737	688	249	115	6	93	17	21,582
23	Thu	22,510	1,071	716	670	375	228	6	115	26	25,719
27	Mon	19,990	950	859	589	280	126	3	90	2	22,889
28	Tue	19,750	908	529	503	328	186	0	86	7	22,297
***** Exchange Holiday *****											
Daily Average											
1977	Feb	20,970	986	869	1,040	296	161	3	201	20	24,547
1977	Mar	18,945	1,029	831	942	298	199	4	187	20	22,458
1977	Apr	20,153	1,128	780	998	325	198	4	207	24	23,819
1977	May	20,277	1,068	797	871	325	161	4	195	21	23,723
1977	Jun	22,006	1,037	1,002	934	343	166	3	209	13	25,715
1977	Jul	23,656	1,226	941	941	283	177	4	220	29	27,481
1977	Aug	18,830	990	722	850	324	117	1	188	24	22,051
1977	Sep	18,270	977	637	846	255	116	2	162	30	21,298
1977	Oct	19,689	963	666	718	292	117	1	170	21	22,640
1977	Nov	23,259	1,084	790	877	364	166	1	169	21	26,734
1977	Dec	21,474	1,164	731	1,032	368	146	1	199	23	25,143
1978	Jan	20,388	1,064	651	1,032	330	146	2	141	15	23,395
1978	Feb	19,400	938	699	556	333	100	1	103	36	22,150

N-80: VOLATILITY AND LIQUIDITY OF NYSE LISTED STOCKS

1974 - 1978

Year	January	February	March	April	May	June	July	August	Sept.	October	November	December
	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----
Part A: Aggregate Volatility Standard & Poors 500 Stock Index												
1974	2.02	1.03	1.35	1.47	1.09	1.48	2.07	2.20	3.04	2.58	1.70	1.97
1975	1.84	1.36	1.65	1.62	1.62	0.82	0.97	1.64	1.44	1.25	0.70	1.12
1976	1.65	1.26	1.17	1.01	1.11	0.78	0.73	0.95	0.75	1.38	1.28	0.76
1977	0.89	0.59	0.80	0.94	0.86	0.67	0.39	0.75	0.67	0.89	1.11	0.53
1978	0.70	0.57	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Part B: Aggregate Liquidity Standard & Poors 500 Stock Index												
1974	3.72	3.51	3.94	3.88	3.83	3.68	3.48	3.47	3.26	3.28	3.59	3.54
1975	3.77	3.96	3.84	3.92	4.07	4.19	4.29	3.73	3.76	3.90	4.24	4.06
1976	4.28	4.34	4.27	4.24	4.20	4.29	4.54	4.28	4.31	4.07	4.12	4.68
1977	4.54	4.70	4.42	4.20	4.21	4.59	4.49	4.43	4.50	4.42	4.15	4.44
1978	4.35											

(See Explanatory Notes)

Aggregate Volatility and Liquidity Measure for NYSE Listed Stocks

Aggregate volatility of NYSE listed stocks refer to the extent to which stock prices change on a day-to-day basis.

Aggregate monthly price volatility is reflected in a dispersion measure of day-to-day percent change in the Standard and Poor's Composite Index; the S & P 500 was chosen because of its breadth and historical coverage enabling a review of volatility back to 1928. The day-to-day percent changes for any interval when ranked from low to high are divided into four "equal" groups by the First, Second and Third quartiles. The difference between the Third and First quartiles, a widely used measure of dispersion and always a positive number, is the interquartile range. The interquartile range—which is the measure of volatility—is not sensitive to the magnitude of monthly extremes but will indicate shifts in the frequency or relative number of large day-to-day changes. These attributes filter out the impact of short-term shocks and enable identification of months characterized by relatively high price volatility.

A more detailed description of the statistical approaches used by the SEC staff in developing this measure of NYSE volatility is available from Raymond Marcotte of the SEC's Directorate of Economic and Policy Research in a staff paper entitled Analysis of the Impact of Competitive Commission Rates on Aggregate Price Volatility of NYSE Stock.

Aggregate liquidity refers to the responsiveness of stock price changes to transaction demand. If the stock price movements are highly insensitive to volume traded, then the market is considered liquid. Conversely if stock price changes are highly responsive to volume traded, then the market is considered illiquid.

The liquidity index is computed by comparing daily price changes for Standard and Poor's Composite Index to the daily turnover of NYSE shares outstanding. For every trading day during the month the natural logarithm of the price relative is computed. Then the daily turnover rate is computed—the ratio of share volume to shares outstanding. Finally, the square of the log price relative is divided by the turnover rate, and this quantity averaged over the entire month. This average, recorded on an inverted logarithmic scale, is the monthly estimate of liquidity.

A detailed description of the statistical model which yields these estimates of liquidity is available from Peter Martin of the Securities and Exchange Commission, Directorate of Economic and Policy Research in a staff paper entitled Analysis of the Impact of Competitive Rates on the Liquidity of NYSE Stocks.

M-90 OPTIONS (CALLS) BY EXCHANGE

	CBOE	AMEX	Phila	Pacific	Midwest	Total	Total Exercised
			Number of Contracts traded (Thousands)				
1973	1,119	*	*	*	*	1,119	16
1974	5,683	*	*	*	*	5,683	40
1975	14,428	3,500	279	*	*	18,207	324
1976	21,501	8,171(1)	1,191(1)	550	15	31,428	858
1977	23,583	9,655	2,002	1,704	497	37,441	1,013
1977	2,117	989	182	113	33	3,433	176
1977	1,633	684	147	69	28	2,561	76
1977	2,204	893	148	92	36	3,372	21
1977	2,133	812	174	11	33	3,263	117
1977	1,947	781	211	12	39	3,101	109
1977	1,903	867	171	127	59	3,218	31
1977	2,024	854	195	134	39	3,247	177
1977	1,831	738	164	156	40	2,928	89
1977	1,648	706	135	150	34	2,673	19
1977	2,025	797	142	169	36	3,169	80
1977	2,324	877	193	264	62	3,720	101
1977	1,704	657	140	195	59	2,754	17
1977	2,091	799	145	154	37	3,226	114

Value of Contracts Traded
(\$ Millions)

	CBOE	AMEX	Phila	Pacific	Midwest	Total	Total Exercised
1973	449	*	*	*	*	449	66
1974	1,660	*	*	*	*	1,660	139
1975	6,423	N.A.	28	*	*	6,451	1,278
1976	9,040	2,205(1)	325(1)	161	3	11,734	3,355
1977	7,507	1,959	366	419	92	10,233	3,697
1977	814	198	40	45	6	1,104	646
1977	500	112	28	18	6	664	197
1977	695	181	26	22	7	930	63
1977	560	118	32	26	6	742	468
1977	591	127	48	33	8	807	347
1977	721	150	38	33	11	962	95
1977	703	166	43	34	6	973	702
1977	609	163	27	36	6	841	277
1977	447	136	18	32	5	637	69
1977	477	143	15	30	4	679	346
1977	729	190	23	62	9	1,013	341
1977	661	147	29	30	9	893	57
1977	629	135	16	23	5	805	571

* Exchange was not trading in options yet.

N.A. Not available.

(1) January 1976 data not available for American or Philadelphia stock exchanges.

W-100 CONTRACT VOLUME IN EXCHANGE TRADED CALL OPTIONS BY EXPIRATION MONTH

(Thousands of Contracts)

Option Expiration	1977												1978	
	Jan	Feb	Mar	Apr	May	Jun	July	Aug	Sep	Oct	Nov	Dec	Jan	Jan
1977														
Jan	780													
Feb	475	262												
Mar	16	13	10											
Apr	1,145	986	1,160	534										
May	341	365	551	462	409									
Jun	11	12	18	20	21	21								
July	444	456	746	1,076	1,139	1,224	590							
Aug	156	109	296	289	429	473	424	286						
Sep	6	5	9	11	16	39	41	32	12					
Oct	60	232	421	547	547	696	1,149	1,213	1,065	669				
Nov		32	169	196	239	265	315	414	339	359	370			
Dec			1	6	9	19	21	23	26	32	54	24		
1978														
Jan				121	259	345	450	493	610	1,089	1,639	1,216	906	
Feb					31	127	149	214	210	269	483	353	395	
Mar						9	15	13	16	22	32	51	45	
Apr							95	213	284	479	624	595	1,009	
May								39	109	161	231	172	235	
Jun									3	9	15	25	29	
July										79	244	266	427	
Aug											20	79	121	
Sep												3	9	
Oct													90	
Totals	3,433	2,561	3,372	3,263	3,101	3,219	3,297	2,929	2,673	3,169	3,720	2,754	3,225	

+ > 2700 BUT < 500

Public Open Interest in European Market Call Options
(Thousands of Contracts)

Option Expiration Month	1977												1978	
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb
1977														
Jan	502													
Feb	0	12												
Mar	954	1,097	1,103											
Apr	372	270	545											
May	7	10	14		21									
Jun	405	535	691		936	932								
Jul	146	228	308		470	514	521							
Aug	4	5	9		19	28	37	40						
Sep	41	160	319		607	739	1,000	1,110						
Oct		22	103		269	342	428	520	569	581				
Nov			1		9	15	23	30	36	42	45			
Dec					200	328	477	589	736	968	1,121	1,141		
1978														
Jan														573
Feb														58
Mar														911
Apr														348
May														32
Jun														377
Jul														128
Aug														6
Sep														56
Oct														
Totals	2,440	2,529	3,094	2,619	2,614	2,994	2,732	2,745	3,177	2,650	2,695	3,073	2,488	

+ > ZERO BUT < 500

EXPLANATORY NOTES

Options Tables

An exchange traded call option provides the right to purchase 100 shares of an underlying stock at a specified price until a specified expiration date. Exchange traded options have a maximum maturity of nine months.

The expiration date of an option is the Saturday following the third Friday of the designated expiration month. An option maturity is the collective term for all options with the same expiration month.

Options expire quarterly and belong to one of three expiration cycles: (1) January-April-July-October; (2) February-May-August-November; and (3) March-June-September-December. At any point in time, options are traded in the nearest three of the four expiration months of a cycle. Nine option maturities may then be traded simultaneously and ten maturities may be traded during a month since a new series is introduced following the expiration date.

Table M-90 reports the number and dollar value of contracts sold monthly by each exchange. Contract volume includes both opening transactions (which establish new long or short option positions) and closing transactions (which eliminate long or short option positions). Long positions in options are eliminated through closing sales, exercises, or expirations.

Table M-100 presents monthly contract sales in each option maturity. At the top of each column the calendar month identifies the interval over which contract volume is aggregated. Expiration months are arrayed along the left margin. For example, February contract volume in options with a July maturity is located in the cell at the intersection of the February column and the July row.

Table M-110 reports the open interest in each option maturity as of the last trading day of the month. Open interest is defined as the number of contracts outstanding; that is, the number of contracts sold that have not been closed out by an offsetting transaction, nor exercised, nor expired. At the top of each column the calendar month identifies the date that open interest is calculated. Expiration months are arrayed along the left margin. For example, open interest at the end of February in options with a July maturity is located in the cell at the intersection of the February column and the July row.

M-120 - GROSS PROCEEDS FROM PRIMARY CORPORATE SECURITIES OFFERINGS BY INDUSTRY, 1972--1977

(Cash Offerings, Millions of Dollars)

Year And Month	Total Amt.	Manufac- turing	Extrac- tive	Electric Gas And Water	Trans- portation	Communi- cation	Sales And Consumer Finance	Financial And Real Estate	Commer- cial And Other	Foreign Corporate Included
1972	39,705	6,398	1,851	11,314	860	4,836	1,977	8,994	3,476	579
1973	31,680	4,832	1,066	10,269	811	4,872	979	7,110	1,748	446
1974	37,729	16,408	970	12,837	1,005	3,930	1,377	5,402	1,802	540
1975	52,539	18,651	1,628	15,894	2,634	4,464	1,010	5,828	2,428	1,287
1976	52,164	15,480	1,771	14,398	3,596	3,562	2,511	8,039	3,129	1,584
Year to Date										
1976 Jan-Dec	52,164	15,480	1,771	14,398	3,596	3,562	2,511	8,039	3,129	1,584
1977 Jan-Dec	48,958	12,225	2,589	13,199	1,641	4,353	2,016	9,549	3,386	1,161
1976 Dec	6,210	2,385	275	1,156	462	196	229	988	519	333
1977 Jan	3,604	906	206	986	36	50	275	723	423	81
Feb	2,653	743	172	435	1	557	103	374	268	163
Mar	5,839	1,125	154	1,593	317	808	64	1,398	376	24
Apr	3,312	1,349	147	774	100	334	45	484	79	14
May	3,852	652	133	1,612	129	294	48	880	105	0
Jun	5,099	1,182	399	1,466	128	416	506	638	364	332
Jul	3,853	1,309	80	568	231	277	12	1,138	229	90
Aug	3,172	966	296	497	195	45	2	1,090	81	160
Sep	3,598	551	156	1,417	60	322	113	604	374	22
Oct	3,494	705	463	1,102	65	8	158	710	283	10
Nov	4,591	744	207	1,714	126	1,010	217	413	159	89
Dec	5,891	1,994	167	1,030	253	232	473	1,097	645	176

Figures May Not Add Due to Rounding
Note: See Explanatory Notes For Offerings Tables Page 23

M-120 - GROSS PROCEEDS FROM PRIMARY CORPORATE SECURITIES OFFERINGS BY INDUSTRY: 1972-1977

Year And Month	Total Amt.	(Number of Issues)							Foreign Corporate Included	
		Manufac- turing	Extrac- tive	Electric Gas And water	Trans- portation	Commu- nication	Sales And Consumer Finance	Financial And Real Estate		Commer- cial And Other
1972	3,783	1,091	239	365	98	170	104	703	993	71
1973	2,461	617	166	341	66	149	76	560	486	51
1974	1,922	538	141	355	55	105	69	341	318	61
1975	1,979	595	124	497	75	111	48	266	263	57
1976	2,030	608	120	408	77	77	74	309	357	53
Year to Date										
1976 Jan-Dec	2,030	608	120	408	77	77	74	309	357	53
1977 Jan-Dec	1,806	513	124	302	61	77	74	266	391	25
1976 Dec	287	104	14	44	12	9	10	42	52	10
1977 Jan	105	30	9	19	2	1	6	16	22	3
Feb	112	33	8	17	2	6	5	13	28	2
Mar	192	53	15	38	10	10	4	24	38	1
Apr	113	36	10	20	2	11	2	16	16	1
May	147	41	8	30	6	5	6	24	27	0
Jun	184	54	15	27	5	10	13	22	38	6
Jul	126	33	9	18	9	8	1	17	33	3
Aug	120	40	11	12	3	1	1	22	30	2
Sep	161	36	11	34	6	4	10	32	28	1
Oct	126	29	7	20	3	4	5	21	37	1
Nov	145	31	6	36	4	6	9	24	29	1
Dec	275	97	15	31	9	11	12	35	65	4

Figures May Not Add Due to Rounding
Note: See Explanatory Notes For Offerings Tables Page 23

M-130-GROSS PROCEEDS FROM PRIMARY SECURITIES OFFERINGS BY TYPE OF OFFERING: 1972-1977
(Cash Offerings in Millions of Dollars)

Year And Month	Foreign Government	Total Corporate	1973 Act Registration	Regulation A	Private Placements
1972	918	39,705	29,404	256	10,045
1973	1,160	31,680	22,745	154	9,781
1974	2,360	37,729	30,950	78	6,701
1975	3,592	52,539	41,711	49	10,779
1976	5,487	52,162	35,863	45	16,253
Year to Date					
1975 Jan-Dec	5,487	52,162	35,863	45	16,253
1977 Jan-Dec	4,659	48,959	32,333	46	16,584
1976 Dec	248	6,208	3,149	4	3,055
1977 Jan	303	3,605	2,993	4	607
Feb	486	2,651	1,864	2	785
Mar	475	5,839	4,085	4	1,750
Apr	0	3,312	2,158	2	1,152
May	259	3,859	2,933	5	914
Jun	255	3,840	2,727	4	2,113
Jul	223	3,853	2,693	3	1,157
Aug	163	3,173	2,325	3	845
Sep	505	3,598	2,285	4	1,309
Oct	367	3,496	2,514	5	977
Nov	429	4,590	3,459	5	1,126
Dec	404	5,091	2,277	5	3,614

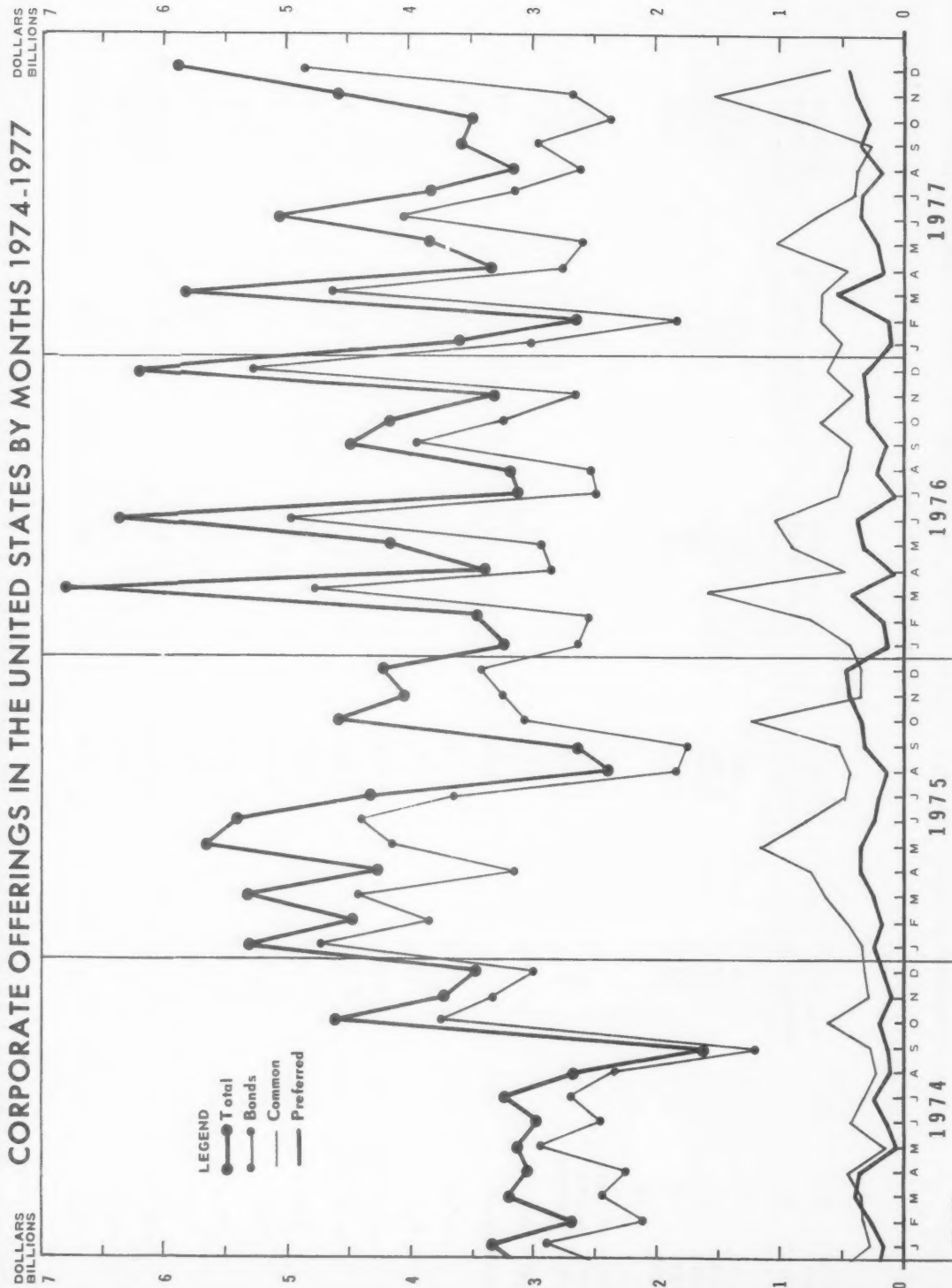
Note: See Explanatory Notes for Offerings Tables Page 23

M-130-GROSS PROCEEDS FROM PRIMARY SECURITIES OFFERINGS BY TYPE OF OFFERING: 1972-1977

(Number of Issues)

Year and Month	Foreign Government	Total Corporate	1933 Act Registration	Regulation A	Private placements
1972	18	3,783	1,740	650	1,393
1973	15	2,461	828	393	1,220
1974	21	1,922	724	223	975
1975	41	1,979	879	130	970
1976	60	2,030	809	123	1,098
Year to Date					
1976 Jan-Dec	60	2,030	809	123	1,098
1977 Jan-Dec	64	1,806	820	124	876
1976 Dec	3	287	87	10	190
1977 Jan	2	105	62	12	31
Feb	7	112	45	6	61
Mar	3	192	71	11	110
Apr	0	113	51	4	58
May	3	147	96	12	49
Jun	8	184	78	13	93
Jul	5	126	54	11	61
Aug	4	120	73	4	43
Sep	8	161	74	11	76
Oct	7	126	59	13	54
Nov	6	145	75	13	57
Dec	11	275	92	14	183

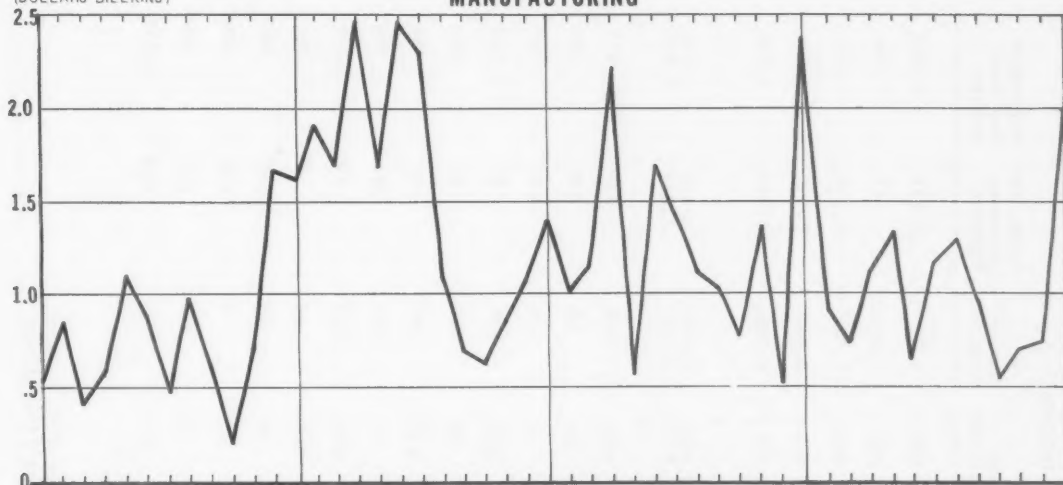
Note: See Explanatory Notes For Offerings Tables Page 23



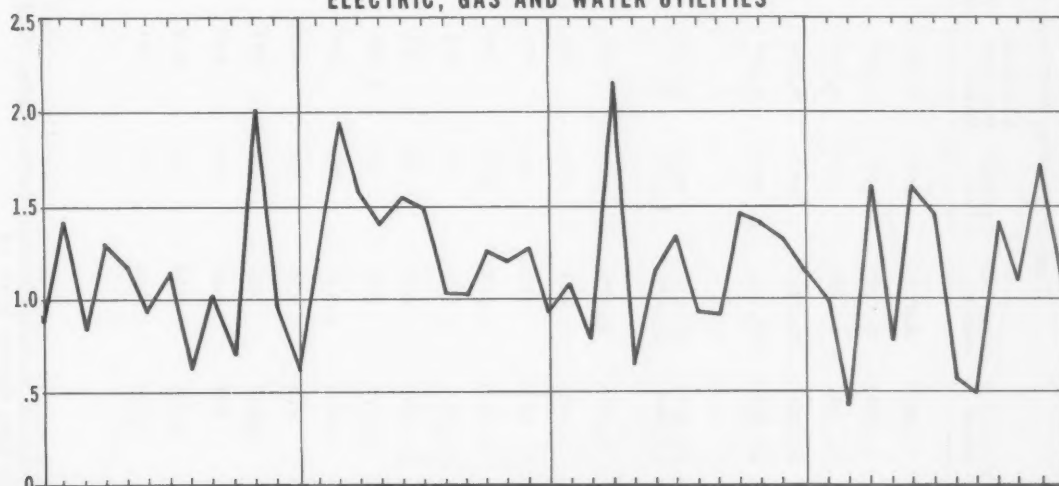
NEW CORPORATE SECURITIES OFFERED FOR CASH SALE BY INDUSTRY OF ISSUER (1974 - 1977 by Months)

GROSS PROCEEDS
(DOLLARS BILLIONS)

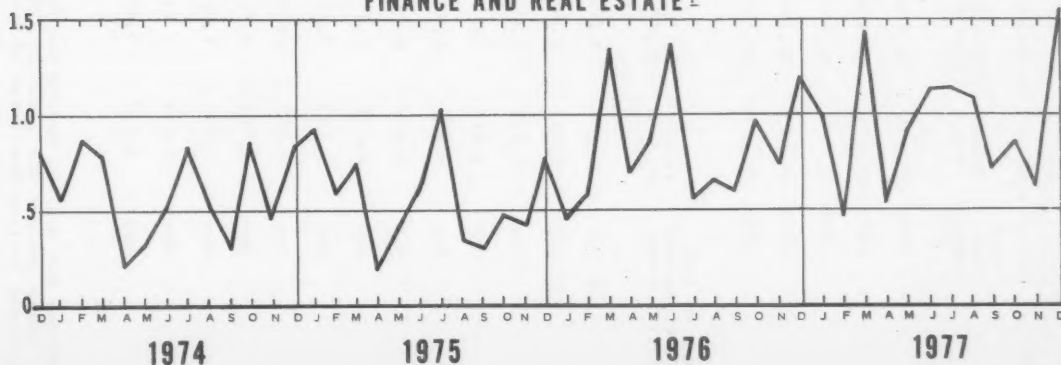
MANUFACTURING



ELECTRIC, GAS AND WATER UTILITIES



FINANCE AND REAL ESTATE ^L



^L Includes sales and consumer finance.

N-14C - CROSS PROCEEDS FROM CORPORATE NON-CONVERTIBLE BOND OFFERINGS, BY INDUSTRY: 1972-1977

(Cash Offerings, Millions of Dollars)

Year And Month	Total Amt.	Publicly Offered	Privately Placed	Manufac- turing	Extrac- tive	Electric Gas And Water	Trans- portation	Commu- nication	Sales And Consumer Finance	Financial And Real Estate	Commer- cial And Other
1972	23,436	14,940	8,496	4,063	307	6,349	671	3,579	1,971	4,976	1,520
1973	20,129	12,404	7,720	4,123	227	5,579	708	3,501	968	3,986	1,037
1974	31,012	24,884	6,129	9,607	255	8,872	983	3,705	1,376	4,834	1,380
1975	40,362	30,106	10,166	16,244	647	9,654	2,610	3,462	1,010	4,918	1,818
1976	40,075	24,393	15,681	12,474	987	8,290	3,494	2,786	2,496	7,232	2,640
Year To Date											
1976 Jan-Dec	40,075	24,393	15,681	12,474	987	8,290	3,494	2,786	2,496	7,232	2,640
1977 Jan-Dec	36,884	21,553	15,329	10,472	1,259	7,345	1,173	3,173	2,011	8,585	2,866
1976 Dec	5,286	2,294	2,991	2,275	128	560	462	195	229	973	465
1977 Jan	3,002	2,401	601	817	140	634	36	50	275	698	353
Feb	1,827	1,047	780	562	82	210	1	290	103	314	264
Mar	4,644	2,954	1,690	1,049	117	756	192	908	64	1,360	299
Apr	2,671	1,584	1,087	1,128	34	602	50	324	45	409	78
May	2,602	1,714	887	642	75	581	129	294	46	798	37
Jun	4,008	1,767	2,241	956	69	1,237	25	371	503	596	251
Jul	3,133	2,117	1,015	1,147	21	205	131	258	12	1,135	224
Aug	2,353	1,536	818	673	236	347	195	45	2	811	44
Sep	2,764 ^{1/}	1,602	1,072	366	94	964	20	319	113	549	339
Oct	2,372 ^{2/}	1,463	909	622	150	519	65	8	158	579	271
Nov	2,674	1,612	1,062	688	150	836	76	284	217	304	119
Dec	4,834	1,666	3,167	1,822	91	454	253	122	473	1,032	587

Figures May Not Add Due to Rounding

^{1/} Bonds Include One Issue of Pass-Through Mortgage-Backed Certificates Totalling \$151 Million

^{2/} Bonds Include One Issue of Pass-Through Mortgage-Backed Certificates Totalling \$75 Million

Note: See Explanatory Notes For Offerings Tables Page 21

W-160 - GROSS PROCEEDS FROM PRIMARY CORPORATE OFFERINGS, BY INDUSTRY: 1972-1977
(Cash Offerings, Millions of Dollars)

Year And Month	Total Amt.	Publicly Offered	Privately Placed	Manufac- turing	Extrac- tive	Electric Gas And Water	Trans- portation	Commu- nication	Sales And Consumer Finance	Financial And Real Estate	Commer- cial And Other
1972	2,370	2,410	960	205	3	2,413	+	607	0	40	12
1973	2,341	2,300	943	110	9	1,912	0	1,226	10	64	2
1974	2,254	1,744	510	115	0	2,004	0	112	+	+	23
1975	3,458	3,000	370	537	75	2,521	0	112	0	126	87
1976	2,709	2,353	437	344	140	2,041	13	100	+	134	9
Year To Date 1976 Jan-Dec 1977 Jan-Dec	2,780 3,303	2,353 2,323	437 1,010	344 541	140 0	2,041 1,735	13 405	100 205	+	134 293	9 216
1976 Dec	300	245	53	10	100	100	0	0	0	0	0
1977 Jan	103	97	6	0	0	95	0	0	0	0	0
Feb	128	120	0	0	0	43	0	75	0	10	0
Mar	520	404	26	17	0	305	125	0	0	10	0
Apr	163	90	55	55	0	90	0	0	0	0	0
May	212	105	27	+	0	160	0	0	0	0	0
Jun	332	225	107	75	0	32	100	0	0	25	53
Jul	327	100	137	75	0	135	100	17	0	+	100
Aug	178	151	27	77	0	51	0	0	0	100	0
Sep	347	180	0	0	0	0	0	0	0	0	0
Oct	400	231	60	53	0	180	+	0	0	53	0
Nov	330	275	54	54	0	150	50	0	+	75	0
Dec	445	50	305	142	0	103	0	110	0	0	0

Figures May Not Add Due to Rounding
+ means less than \$500,000

Note: See Explanatory Notes for Offerings Tables Page 23

M-170 - GROSS PROCEEDS FROM PRIMARY CORPORATE COMMON STOCK OFFERINGS, BY INDUSTRY, 1972-1977

(Cash Offerings, "Millions of Dollars")

Year and Month	Total Amt.	Publicly Offered	Privately Placed	Manufac- turing	Extrac- tive	Electric Gas and Water	Trans- portation	Communi- cation	Sales And Consumer Finance	Financial and Real Estate	Commer- cial And Other
1972	10,707	10,328	379	1,504	1,240	2,552	147	429	5	3,107	1,544
1973	7,643	7,608	35	519	882	2,779	26	122	1	2,669	704
1974	3,976	3,846	30	427	714	1,959	21	108	0	554	107
1975	7,413	7,178	236	1,134	875	3,714	1	889	1	361	440
1976	8,305	8,206	9	1,893	606	4,060	11	666	0	637	428
Year To Date 1976 Jan-Dec	8,305	8,296	9	1,893	606	4,060	11	666	0	637	428
1977 Jan-Dec	9,034	7,945	87	1,724	1,307	4,069	14	975	5	650	290
1976 Dec	612	612	0	91	47	407	0	0	0	15	51
1977 Jan	400	400	0	91	66	357	+	0	0	25	70
Feb	602	602	0	175	90	107	0	102	0	50	4
Mar	675	640	34	59	37	527	+	0	0	29	14
Apr	429	429	0	155	113	74	0	10	0	75	1
May	1,036	1,036	0	8	59	971	0	0	2	92	15
Jun	703	703	0	101	324	107	3	45	3	17	13
Jul	369	369	+	60	61	209	0	2	0	3	5
Aug	370	370	0	7	60	90	0	0	0	170	34
Sep	279	277	1	13	62	129	10	0	0	40	24
Oct	923	923	0	30	317	394	+	+	0	73	12
Nov	1,556	1,556	0	2	57	719	0	725	0	13	40
Dec	506	504	52	24	66	303	0	+	0	55	59

Figures May Not Add Due to Rounding
+ Means More Than \$500,000
Notes: See Explanation Notes For Offerings Tables Pages 23

EXPLANATORY NOTES FOR OFFERINGS TABLES

1972 TO PRESENT

The data included in the Commission's statistical series on securities offerings are a sample of the universe of all securities offered in the United States. This sample includes all primary security issues registered with the Commission for immediate cash sale to the public pursuant to the requirements of the Securities Act of 1933 or Regulation A of that Act. The sample also includes information collected by the Commission on certain private placements as described below. Excluded from the offerings data are all non-registered issues of less than \$100,000; all debt securities with terms of less than one year; all secondary offerings; all non-cash offerings; issues withdrawn after effective registration; issues not defined as securities under Section 2(1) of the Securities Act of 1933; offerings--both public and private--by issuers whose securities are exempted under Sections 3(a)(2)-3(a)(6) and Section 3(a)(11) of the Securities Act of 1933 ^{1/}; all employee stock plans; investment company issues other than closed-end shares ^{2/}; intracorporate securities transactions; and sales to foreign purchasers.

The source of data for registered offerings is the registration statement filed with the Commission by the issuer of the securities. For some issues the offerings date may fall in a different month than the effective date. Prior to January 1977, this difference led to incomparability between the offerings table and the Effective Registrations table. Beginning with January 1977, issues are included in the offerings tables as of the date of effective registration, regardless of their offering date. Data on private placements are collected by a Commission survey of 39 life insurance companies on a monthly basis and 9 more on a quarterly or semi-annual basis, and by reports of private placements filed on the Commission's Form 8-K. Life insurance companies surveyed by the Commission had assets on December 31, 1972, of \$190,145 million, or 79% of all life insurance company assets. Only

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- ^{1/} 3(a)(2) exempts domestic government issues and bank issues,
 3(a)(3) exempts securities arising out of current transactions with a maturity not exceeding 9 months,
 3(a)(4) exempts securities issued by non-profit and/or charitable groups,
 3(a)(5) exempts issues by savings and loan associations,
 3(a)(6) exempts issues by companies regulated by the ICC, and
 3(a)(11) exempts intrastate offerings.

- ^{2/} No investment company shares are included for 1972; closed-end investment company shares only are included for 1973. The Commission plans to revise the offerings data to include closed-end shares for the year 1972, and when this project is completed, revised tables will be published in the Statistical Bulletin.

those private placement commitments which are actually executed are included in the statistics. No representation is made that the private placement activity of the life insurance companies surveyed approximates private placement activity as a whole in the United States.

The offerings tables make a distinction between Corporate Offerings and Foreign Government Offerings. It should be noted that Corporate Offerings include issues by non-corporate business enterprises such as limited partnerships, and that the Foreign Government classification includes foreign government issues of all levels, foreign government agencies, and foreign government-owned enterprises.

The industry classification used in the offerings tables should be self-explanatory for the most part. It should be emphasized that the communication group includes telephone utilities. In the period 1971-73, 98% of the dollar value of the registered issues in this category was accounted for by telephone utilities. The Commercial and Other industry group is comprised of agriculture, construction, wholesale and retail trade, and all service activities other than financial services.

The security types used in the offerings tables are Bonds, Preferred Stocks and Common Stocks. The Bonds group includes convertible bonds, which are detailed on a special table. The Preferred Stock group includes convertible preferred stock; however, in the period 1971-73 registered convertible preferred stock accounted for less than 15% of the value of all registered preferred stock. The Common Stock category includes common shares issued by closed-end investment companies (preferred shares are included in Preferred Stock), as well as securities issued by non-corporate business such as certificates of participation and shares of beneficial interest, and other equity securities such as voting trust certificates and certificates of deposit. Depository Receipts are not included in the offerings statistics.

All figures are subject to revisions as new information becomes available which requires either an addition to or a correction to data previously published. Revised figures will be indicated with an "R".

M-180 - SECURITIES REGISTRATIONS FOR CASH SALE: 1972-1977
(Under Securities Act Of 1933, Millions Of Dollars)

Year And Month	Primary Corporate Offerings					Warrants and Other Equity Securities	Closed End Investment Companies	Secondary Offerings	Foreign Governments
	Debt		Stock		Number Of Issues				
	Preferred	Common	Unseasoned	Seasoned		*****	*****	*****	*****
1972	16,959	2,416	1,690	5,105	2,385	1,393	5,089	683	
1973	13,039	2,343	300	4,187	2,216	1,517	1,286	1,083	
1974	25,937	1,877	82	2,709	1,235	92	1,752	2,171	
1975	21,027	1,089	70	5,261	1,965	52	983	3,547	
1976	25,363	2,359	185	7,221	897	44	774	3,925	
Year To Date 1976 Jan-Dec	25,363	2,359	185	7,221	897	44	774	3,925	
1977 Jan-Dec	22,710	2,471	207	6,206	1,460	2	342	4,096	
1976 Dec	2,309	250	16	500	54	0	10	248	
1977 Jan	2,401	97	78	337	144	0	10	300	
Feb	1,047	128	6	544	140	0	9	432	
Mar	2,954	494	5	600	31	0	82	475	
Apr	1,634	98	24	278	125	0	64	0	
May	1,716	185	10	893	128	0	6	259	
Jun	1,822	225	29	564	106	2	47	800	
Jul	2,138	190	4	320	41	0	40	185	
Aug	1,797	151	8	227	143	0	45	149	
Sep	1,752	347	5	159	110	0	0	474	
Oct	1,834	231	3	424	392	0	22	298	
Nov	1,633	275	11	1,444	100	0	6	424	
Dec	1,982	50	24	416	100	0	11	300	
1972	417	83	633	387	259	25	627	18	
1973	266	54	176	148	252	28	108	15	
1974	381	67	45	105	157	4	31	21	
1975	485	87	24	187	105	3	65	41	
1976	390	71	43	209	96	3	97	50	
Year To Date 1976 Jan-Dec	390	71	43	209	96	3	97	50	
1977 Jan-Dec	383	60	58	180	116	1	55	41	
1976 Dec	48	9	8	15	7	0	3	3	
1977 Jan	26	4	10	12	11	0	4	2	
Feb	22	4	4	8	7	0	2	6	
Mar	27	14	3	22	8	0	8	0	
Apr	20	4	4	14	9	0	5	0	
May	33	6	4	23	14	1	6	0	
Jun	36	3	6	14	12	0	3	0	
Jul	27	4	3	11	9	0	4	0	
Aug	45	2	2	10	13	0	4	0	
Sep	36 1/2	8	4	10	16	0	4	0	
Oct	32 1/2	7	2	13	11	0	4	0	
Nov	25	6	2	11	10	0	3	5	
Dec	44	1	10	19	6	0	7	2	

Figures May Not Add Due To Rounding
1/ Bonds Include One Issue Of Pass-Through Mortgage-Backed Certificates Totaling \$151 Million
2/ Bonds Include One Issue Of Pass-Through Mortgage-Backed Certificates Totaling \$75 Million
Note: See Explanatory Notes For Registrations Page 5n

N-183 - SECURITIES REGISTRATIONS FOR EXTENDED CASH SALE: 1972-1977
(Under Securities Act of 1933, "Millions of Dollars")

Year Month	Investment Company Issues				Offerings to Employees				Dividend Reinvest- ment plans		Dps'ty Rec'd's
	Open-End	Unit	Face Amount	Variable Annuities	Savings And Thrift Plans	Stock Op- tion Plans	Stock Pur- chase Plans	Incentive Comp- ensation Plans	N/A	N/A	
1972	7,795	3,003	306	857	2,413	3,649	511	N/A	N/A	N/A	N/A
1973	5,862	1,056	268	692	1,942	3,539	468	598	206	N/A	N/A
1974	7,619	2,146	175	529	2,174	2,845	804	353	379	N/A	N/A
1975	13,623	2,966	250	834	3,984	3,267	1,383	620	1,705	N/A	N/A
1976	18,138	3,899	407	443	2,159	3,054	2,586	620	856	14	14
Year To Date 1976 Jan-Dec	18,138	3,899	407	443	2,159	3,054	2,586	620	856	14	14
1977 Jan-Dec	25,163	3,970	197	255	2,942	2,933	865	1,451	1,395	28	28
1976 Dec	3,339	312	20	68	179	85	44	4	78	1	1
1977 Jan	2,930	393	0	10	204	93	19	12	42	6	6
1977 Feb	1,776	331	0	15	116	119	17	39	76	1	1
1977 Mar	777	314	15	37	34	178	31	530	67	1	1
1977 Apr	1,415	371	69	0	901	398	74	330	961	1	1
1977 May	1,884	377	0	0	482	1,010	170	632	42	1	1
1977 Jun	1,808	288	0	70	198	268	77	29	48	1	1
1977 Jul	5,707	229	20	30	474	187	82	55	39	1	1
1977 Aug	1,224	452	0	0	26	242	100	31	35	1	1
1977 Sep	1,989	445	0	83	156	137	14	28	12	1	1
1977 Oct	2,457	294	72	10	42	137	57	11	2	1	1
1977 Nov	1,259	222	6	0	149	130	162	3	26	2	2
1977 Dec	1,937	264	15	0	160	135	62	43	45	2	2
1972	230	75	10	39	194	622	84	N/A	N/A	N/A	N/A
1973	194	63	6	35	216	689	145	62	11	N/A	N/A
1974	222	112	6	35	213	593	154	64	21	N/A	N/A
1975	291	147	8	33	218	540	164	61	36	N/A	N/A
1976	337	222	11	22	183	527	159	78	53	22	22
Year To Date 1976 Jan-Dec	337	222	11	22	183	527	159	78	53	22	22
1977 Jan-Dec	398	264	10	20	216	473	139	86	57	36	36
1976 Dec	42	23	2	3	19	25	10	2	10	3	3
1977 Jan	40	18	0	1	9	31	11	6	4	1	1
1977 Feb	37	20	0	0	6	21	5	4	2	1	1
1977 Mar	24	24	1	1	9	20	9	12	6	1	1
1977 Apr	29	20	4	4	37	46	12	19	15	1	1
1977 May	37	27	0	0	44	71	16	10	6	1	1
1977 Jun	34	16	0	5	34	55	21	8	4	1	1
1977 Jul	26	16	1	1	14	60	11	4	4	1	1
1977 Aug	20	25	0	0	8	22	9	5	4	1	1
1977 Sep	37	29	0	7	13	22	8	5	2	1	1
1977 Oct	56	24	1	1	14	34	13	3	1	1	1
1977 Nov	26	16	2	0	14	34	13	3	1	1	1
1977 Dec	32	29	1	0	20	29	9	4	4	1	1

Figures May Not Add Due to Rounding
* = Less Than \$500,000
N/A = Not Available
Note: See Explanatory Notes For Registrations Page 30

156 TOTAL REGISTRATIONS FOR NON-CASH ISSUES: 1972-1977
(Under Securities Act of 1933, Amounts in Millions)

Year And Month *****	Total Effective Registrations *****	Exchange Transactions *****	Reserve For Conversions *****	Warrants, Options And Others *****	Secondary Offerings *****
1976 Jan-Dec	94,389	17,650	1,951	644	1,200
1977 Jan-Dec	93,016	8,857	2,416	496	1,728
1976 Dec	8,922	966	101	21	127
1977 Jan	8,821	1,419	327	10	9
Feb	5,099	1,280	27	19	15
Mar	6,563	363	84	49	20
Apr	8,554	1,281	193	49	53
May	8,980	1,281	274	51	164
Jun	12,509	1,239	266	51	170
Jul	10,460	414	187	20	40
Aug	5,395	333	399	13	75
Sep	6,245	512	149	23	38
Oct	6,822	735	138	15	17
Nov	6,948	689	277	44	89
Dec	6,320	796	113	128	38
1972 Jan-Dec	N/A	117	N/A	N/A	493
1973 Jan-Dec	N/A	449	77	310	296
1974 Jan-Dec	N/A	404	55	157	212
1975 Jan-Dec	N/A	286	81	122	183
1976 Jan-Dec	3,390	299	76	142	307
1976 Jan-Dec	3,308	299	76	142	307
1977 Jan-Dec	3,387	335	89	163	199
1976 Dec	330	22	8	10	58
1977 Jan	243	37	7	0	8
Feb	190	18	1	10	10
Mar	226	20	4	12	9
Apr	311	35	6	10	19
May	400	30	11	21	23
Jun	358	27	10	18	23
Jul	273	23	8	13	22
Aug	260	19	9	8	22
Sep	261	20	9	18	22
Oct	258	22	3	9	13
Nov	291	40	9	20	20
Dec	316	44	12	24	18

Number of Issues

Figures May Not Add Due To Rounding
N/A = Not Available
Note: See Explanatory Notes For Registrations Page 30

M-190 - VALUE OF CORPORATE SECURITIES ISSUES BY METHOD OF DISTRIBUTION AND BY TYPE OF SECURITY: 1972-1977
(Primary Issues Registered Under The Securities Act of 1933, Millions of Dollars)

Year And Month	Underwritten				Agency Best Efforts				Direct By Issuer			
	Total	Debt	Preferred	Common	Total	Debt	Preferred	Common	Total	Debt	Preferred	Common
1972	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
1973	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
1974	29,602	25,304	1,868	2,362	984	290	0	694	1,347	343	9	970
1975	38,771	29,907	3,073	5,763	1,200	345	0	856	1,491	776	15	677
1976	33,424	23,750	2,342	7,311	1,115	447	5	660	1,530	1,166	12	332
Year To Date												
1976 Jan-Dec	33,424	23,750	2,342	7,311	1,115	447	5	660	1,530	1,166	12	332
1977 Jan-Dec	27,791	19,363	2,361	6,069	2,526	1,278	0	1,247	2,071	1,401	22	648
1976 Dec	3,014	2,272	241	501	93	29	5	49	32	8	4	20
1977 Jan	2,722	2,286	95	341	176	100	0	76	94	15	2	78
Feb	1,398	914	128	356	231	105	0	126	235	28	0	207
Mar	3,378	2,310	477	591	34	7	0	27	673	637	17	19
Apr	2,047	1,629	98	320	99	5	0	94	74	0	0	74
May	2,448	1,364	195	900	422	303	0	119	62	49	0	12
Jun	2,420	1,622	225	573	241	132	0	108	86	68	0	18
Jul	2,080	1,572	190	318	79	55	0	24	534	511	0	23
Aug	2,149	1,771	151	227	113	25	0	88	63	1	0	62
Sep	2,137 1/	1,720	259	158	108	25	0	83	40	8	0	32
Oct	2,051 2/	1,397	228	426	400	25	0	375	63	42	3	18
Nov	3,316	1,597	275	1,444	94	18	0	66	59	18	+	41
Dec	1,645	1,181	50	415	538	478	0	61	98	24	0	64

Figures may not add due to rounding

N/A = Not Available

1/ Items include One Issue of Pass-Through Mortgage-Backed Certificates totaling \$151 million
2/ Items include One Issue of Pass-Through Mortgage-Backed Certificates totaling \$75 million
Note: See Explanatory Notes for Securities Registration page 30

M-200 - NUMBER OF CORPORATE SECURITIES ISSUES BY METHOD OF DISTRIBUTION AND BY TYPE OF SECURITY: 1972-1977
(Primary Issues Registered Under The Securities Act of 1933)

Year And Month	Underwritten				Agency Best Efforts				Direct By Issuer			
	Total	Debt	Preferred	Common	Total	Debt	Preferred	Common	Total	Debt	Preferred	Common
1972	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
1973	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
1974	486	333	63	89	90	12	0	78	184	36	4	141
1975	687	428	85	172	62	8	0	54	146	50	2	93
1976	612	327	68	216	91	19	1	69	114	45	3	65
Year To Date												
1976 Jan-Dec	612	327	68	216	91	19	1	69	114	45	3	65
1977 Jan-Dec	536	297	55	184	135	37	0	98	143	41	5	97
1976 Dec	62	40	7	15	9	4	1	4	16	4	1	11
1977 Jan	41	24	3	14	9	1	0	8	12	1	1	10
Feb	28	15	4	9	12	4	0	8	5	3	0	2
Mar	50	22	9	19	7	2	0	5	13	3	2	8
Apr	43	19	4	20	11	1	0	10	7	0	0	7
May	47	20	6	21	14	2	0	12	25	11	0	14
Jun	51	26	3	22	14	4	0	10	13	6	0	7
Jul	36	23	4	9	7	2	0	5	11	2	0	9
Aug	56	43	2	11	7	1	0	6	9	1	0	8
Sep	50 1/	31	8	11	11	2	0	9	13	3	0	10
Oct	39 2/	21	6	12	8	1	0	7	12	4	1	7
Nov	52	29	5	18	12	3	0	9	11	3	1	7
Dec	43	24	1	18	23	14	0	9	12	4	0	8

Figures May Not Add Due To Rounding

N/A = Not Available

1/ Ponds Include One Issue of Pass-Through Mortgage-Backed Certificates totaling \$151 million

2/ Ponds Include One Issue of Pass-Through Mortgage-Backed Certificates totaling \$75 million

Note: See Preliminary Notes For Securities Registrations Page 30

EXPLANATORY NOTES

Registrations

Data cover all registrations which became effective under the Securities Act of 1933. Total Effective Registrations, Table M-186, includes issues for cash as well as non-cash. Issues are included as of the effective date, with the exception of those subject to post-effective amendment to report terms of offerings. These are included as of the date of the post-effective amendment.

The classification primary corporate offerings refer to registered offerings for immediate cash sale where the proceeds of the offering are at the disposal of the issuing corporations. Secondary offerings are registrations of outstanding securities where the proceeds of the issue do not accrue to the issuing company. The dollar amount for both type offerings is based generally on the initial offering price. The classification unseasoned common stock includes initial offerings by companies registering common stock for the first time under the Securities Act of 1933, whereas seasoned common stock offerings are registrations by companies previously having securities registered under the 1933 Act. Other equity securities classification consists of offerings of certificates of participation or beneficial interests, warrants or options, voting trust certificates and other equity securities.

Issues to be offered for extended cash sale include investment company issues, and employee plans and Depository Receipts. Depository Receipts include American, European and domestic depository receipts. The classification foreign government is shown separately, whereas registrations by foreign corporations are included in with corporate offerings. Investment company securities include open-end, face-amount, unit trust offerings and variable annuities. Closed-end securities are included in primary corporate offerings.

Issues registered for other non-cash purposes such as exchange transactions, securities given for property or services, or reserved for conversion are generally valued at the current market prices.

Dollar amounts have been rounded and will not add to totals.

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The SEC has prepared a magnetic tape record covering New Securities Registrations and Offerings Statistics (ROS file). The file contains a record of all securities registered under the 1933 Act and offerings exempt under Regulation A. The tape record of these statistics has been provided to the National Archives and Records Service of the General Service Administration and copies are available for purchase from that Agency. Each copy of the file contains all records beginning with January 1970. It will be updated quarterly. The file is organized by individual security registrations and each record contains a possible 53 entries. The individual record contains variables used to identify the issuer by size, industry, age, income, as well as other classifications. Each security issue is identified in detail and the dollar amounts involved are distributed by method of offering, by primary and secondary accounts, and by the cash sale or other purposes of the registration. Available CUSIP numbers have been included for each security. Where more than one security is included in a unit offering, a separate record for each security is provided. Copies of the file and related documentation may be obtained on a single reel of nine track 800 BPI IBM EBCDIC tape by ordering from the Machine Readable Archives Division, National Archives and Records Service, Washington, D.C. 20408. The cost per copy is \$60 (price subject to change) payable to the General Services Administration. Shipment will be made within two weeks of receipt of order. In ordering, refer to item number NN 373-207.

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Index of Series Which Do Not Appear Monthly

Series and Base Numbers

Line No.	Series	1972												Time Base
		Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	
1	0-250 Net Change in Corporate Earnings	-	-	15	-	-	-	-	-	-	-	-	-	1
2	0-310 Working Capital (Quarterly)	-	-	-	15	-	-	5	-	-	-	-	-	2
3	0-320 Noninsured Corporate Bonds (Quarterly)	-	-	-	3	-	11	10	-	5	-	-	10	3
4	0-330 Fin. Institutions' Stock Repurchases	-	-	37	-	-	8	9	-	10	-	-	6	4
5	0-450 OTC Volume in New Issues	-	4	-	-	-	3	-	-	-	-	-	-	5
6	0-500 Income Account of Nonmember Firms	-	-	9	-	5	-	-	4	-	-	-	-	6
7	0-500 Balance Sheet of Nonmember Firms	-	-	7	-	2	-	-	5	-	-	-	-	7
8	0-111 Market Indicators-Call Options	-	-	-	-	-	12	-	-	-	-	-	3	8
9	0-121 Avg. Price of Shares on Exchanges	11	-	-	-	-	-	9	-	-	-	-	-	9
10	0-245 Foreign Correlation of U. S.	-	-	26	6	-	-	-	-	-	5	-	-	10
11	AN-120 Annual Value & Volume on Exchanges	4	-	-	-	-	-	-	-	-	-	-	-	11
12	AN-130 Annual Avg. Volume (weekly & monthly)*	5	-	-	-	-	-	-	-	-	-	-	-	12
13	AN-140 NYSE Volume (weekly & monthly)*	7	-	-	-	-	-	-	-	-	-	-	-	13
14	AN-143 Odd-Lot Volume (weekly & monthly)*	9	-	-	-	-	-	-	-	-	-	-	-	14
15	AN-160 Growth-Rate Triangular-Avg. Volume	13	-	-	-	-	-	-	-	-	-	-	-	15
16	AN-180 Securities Listed on Exchanges	-	-	-	18	-	-	-	-	-	-	-	-	16
17	AN-220 Corp. Sec. by Industry & Offer-Type	-	-	19	-	-	-	-	-	-	-	-	-	17
18	AN-250 Dom. Corp. Securities-Issued & Retired	-	-	18	-	-	-	-	-	-	-	-	-	18
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20	AN-330 Fin. Institutions' Stock Transactions	-	-	34	-	-	-	-	-	-	-	-	-	20
21	AN-340 Market Value of Stock Outstanding	-	-	-	-	9	-	-	-	-	-	-	-	21
22	AN-350 Institutions' Stock Holdings	-	-	-	-	11	-	-	-	-	-	-	-	22
23	AN-360 Institutional Assets & Stock Holdings	-	-	-	-	12	-	-	-	-	-	-	-	23
24	AN-500 Broker-Dealer Income Account	-	-	5	-	-	-	5	-	-	-	-	-	24
25	AN-520 Broker-Dealer Balance Sheet	-	-	4	-	-	-	7	-	-	-	-	-	25
26	AN-550 All Registered Broker-Dealer, Fin. Data	-	-	3	-	-	-	4	-	-	-	-	-	26

* Series has been discontinued. See February 1973 Bulletin.

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